

## **APPENDIX B**

# **Institutional and Financial Mechanisms**

## **B1 – DSM Incorporation Documents**

## B1 – DSM Incorporation Documents

### B1.1 - Certificate of Incorporation

REPUBLIC OF TRINIDAD AND TOBAGO Form 3

1901797 C2019110802624  
*Computer ID No.* *Company No.*

THE COMPANIES ACT, 1995

**CERTIFICATE OF INCORPORATION**

DSM INVESTMENTS LTD  
*Name of Company*

I hereby certify that the above-mentioned Company, the Articles of Incorporation of which are attached, was incorporated under the Companies Act, 1995 of Trinidad and Tobago.





  
*Registrar of Companies*

6th November, 2019  
*Date of Incorporation*

### B1.2 - Articles of Incorporation

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, 1995  
[Section (9)]  
ARTICLES OF INCORPORATION

REGISTRAR GENERAL DEPARTMENT  
NOV 06 2019  
TRINIDAD AND TOBAGO

Form 1

1. Name of Company. DSM INVESTMENTS LTD Company No. \_\_\_\_\_

2. Liability of Members

Limited by Shares       Limited by Guarantee       Limited by Shares and Guarantee       Unlimited

3. Is the Company a Public Company?  
 Yes       No

4. The Classes of shares and any maximum number of shares in each class that the Company is authorized to issue  
THE COMPANY IS AUTHORIZED TO ISSUE AN UNLIMITED NUMBER OF SHARES OF ONE CLASS TO BE DESIGNATED AS ORDINARY SHARES.

5. Restrictions, if any, on share transfer or share ownership  
N/A

6. Variation of Pre-emptive Rights  
N/A

7. Restrictions, if any, on powers of directors to amend by-laws  
N/A

8. Number (or minimum and maximum number) of Directors  
MINIMUM (2)  
MAXIMUM (7)

9. Number of intended employees  
(2) TWO

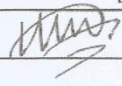
10. Main area of business activity  
LEASING OF PROPERTY TO THE GENERAL PUBLIC AND COMMERCIAL ENTITIES.

REGISTERED

11. Restrictions, if any, on business the Company may carry on  
 N/A

12. Other provision, if any  
 N/A

13. Incorporators

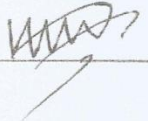
Name and Occupations	Address	Signatures
ELLISTER LEWIS	#52 CHURCH ROAD, MAYARO	
BUSINESSMAN		

Statement

~~I/We~~ ELLISTER LEWIS (DIRECTOR) of  
#52 CHURCH ROAD, MAYARO

do state as true and correct that none of the signatories to the articles of incorporation herein is an individual who is so described in section 8(2) of the Companies Act, Chap. 81:01 and that all the requirements precedent to the formation and incorporation of a company under the Act have been complied with.

Dated this 6<sup>TH</sup> day of November, 20 19

Signature 

REGISTERED


### B1.3 - Notice of Address

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, 1995  
[Section 176(1) and (2)]

**NOTICE OF ADDRESS**  
OR  
**NOTICE OF CHANGE OF ADDRESS OF REGISTERED OFFICE**

Form 4



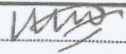
1. Name of Company DSM INVESTMENTS LTD 2. Company No. \_\_\_\_\_

3. Address of Registered Office  
LP #55 ISTHMIUS ROAD, GALEOTA POINT, GUAYEUAYARE.

4. Mailing Address  
SAME AS ITEM NO 3 ABOVE.

5. If change of address, give previous address of Registered Office and date of change.  
N/A

REGISTERED

Date	Name and Title	Signature
<u>6-11-2019</u>	<u>ELLISTER LEWIS (INCORPORATOR)</u>	

**B1.4 - Notice of Director**

Form 8

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, 1995  
(Sections 71 and 79)

**NOTICE OF DIRECTORS  
OR  
NOTICE OF CHANGE OF DIRECTORS**

DSM INVESTMENTS LTD.



1. Name of Company..... Company No.....
2. Notice is given that on the 21<sup>st</sup> day of January, 2021, the following person(s) was/were appointed director(s):

Name	Address	Occupation
DAMIAN LEWIS	12 East Street Beaulieu Gardens, Tacarigua	

4. Notice is given that on the 21<sup>st</sup> day of January, 2021, the following person(s) ceased to hold office as director(s).

Name	Address
SUDESH RAMNARINE	LP #10 Temple Street, Mandingo Road, Princess Town

5. The directors of the company as of this date are:

Name	Address	Occupation
ELLISTER LEWIS	52 Church Road, Mayaro	Businessman
DAMIAN LEWIS	12 East Street, Beaulieu Gardens, Tacarigua	Student


Date	Name and Title	Signature
22nd January 2021	ELLISTER LEWIS - DIRECTOR	

**B1.5 - Notice of Secretary**

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, 1995  
[Section 178(4)(b),(c)]

**NOTICE OF SECRETARY/ASSISTANT SECRETARY (IES)  
OR  
NOTICE OF CHANGE OF SECRETARY/ASSISTANT SECRETARY (IES)**



1. Name of Company DSM INVESTMENTS LTD 2. Company No. \_\_\_\_\_

3. Notice is given that on the 6<sup>th</sup> day of NOVEMBER 2019 the following individual (s), corporation (s), firm (s) was / were appointed Secretary/ Assistant Secretary (ies)\*:

Name	Address/Registered Office/ Principal Place of Business	Occupation/ Status *
ELLISTER LEWIS	#52 CHURCH ROAD, MAYARO.	BUSINESSMAN

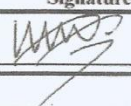
4. Notice is given that on the \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_ the following individual (s), corporation (s), firm (s) ceased to hold office as Secretary/ Assistant Secretary (ies)\*:

Name	Address/Registered Office/ Principal Place of Business	Occupation/ Status *
N/A	N/A	N/A

5. The Secretary/ Assistant Secretary (ies) as of this date is/are:

Name	Address/Registered Office/ Principal Place of Business	Occupation/ Status *
ELLISTER LEWIS	#52 CHURCH ROAD, MAYARO.	BUSINESSMAN

6.

Date	Signature	Title
6-11-2019		(DIRECTOR)

REGISTERED

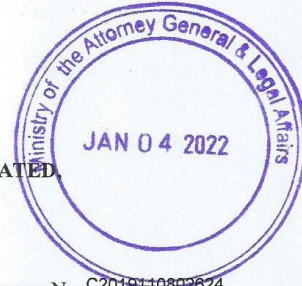


**B1.6 - Annual Return**

FORM 28

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, CH. 81:01  
[Section 194(1)]



**ANNUAL RETURN OF A COMPANY FOR PROFIT INCORPORATED,  
CONTINUED OR AMALGAMATED UNDER THE ACT**

1. Name of Company DSM INVESTMENTS LTD 2. Company No. C2019110802624

3. Registered Office of Company LP#55 Isthmus Road, Galeota Point, Guayaguayare

4. (a) Anniversary Date of  Incorporation  Continuance  Amalgamation  
NOVEMBER 06TH, 2021

4. (b) Share Capital			Issued by Company in the last financial period		Purchased/Redeemed by Company in the last financial period	
Class of Shares	Number issued and outstanding	Amount of stated capital	Number of shares	Amount of stated capital	Number of shares	Reduction of stated capital
ORDINARY	6,960,352	\$6,960,352	1,524,746	\$1,524,746	N/A	N/A

**REGISTERED**

5. AUTHORIZED SHARE CAPITAL, IF ANY


Class of Shares	Number of Shares in each Class
1. <u>ORDINARY</u>	<u>UNLIMITED</u>
2. ....	.....
3. ....	.....
4. ....	.....

6. List of persons holding shares in the company on the 6TH day of NOVEMBER 2021..... and of persons who have held shares therein at any time since the date of the last return, or (in the case of the first return) of the incorporation, continuance or amalgamation of the company, showing their names and addresses and an account of the shares so held.

Name	Address/ Registered Office	Occupation/Status	Class of Shares and Number Held at date of Return
ELLISTER LEWIS	52 CHURCH ROAD, MAYARO	BUSINESSMAN	ORDINARY - 6,612,337
DAMIAN LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	ORDINARY - 116,005
MADISON LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	ORDINARY - 116,005
SAMIRAH HOSEIN	52 CHURCH ROAD, MAYARO	STUDENT	ORDINARY -116,005

REGISTERED

ACCOUNTS OF SHARES				
Particulars of Shares transferred since the date of the last Return or (in the case of the first Return) of the incorporation of the Company, or of its continuance, by persons who are still Shareholders	Particulars of Shares transferred since the date of the last Return or (in the case of the first Return) of the incorporation of the Company, or of its continuance, by persons who have ceased to be Shareholders			
Class of shares and Number at date of Return	Date of Registration of Transfer	Class of Shares and Number at date of Return	Date of Registration of Transfer	Remarks
ORDINARY - 155,775	N/A	N/A	2nd November 2021	Transfer from Damian Lewis to Madison Lewis -116,005; and Samirah Hosein - 39,770

Date	Signature	Title
04/01/2022		DIRECTOR

7. Total amount of the indebtedness of the Company in respect of all mortgages and charges of the kind which are required to be registered with the Registrar under the Companies Act \$.....NIL.....

8. The directors of the company as of the date of the Annual Return are:

Name	Address	Occupation
ELLISTER LEWIS	52 CHURCH ROAD, MAYARO	BUSINESSMAN
DAMIAN LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT

9. The secretary/assistant secretary(ies) of the company as of the date of the Annual Return is/are:

Name	Address/Registered Office Principal Place of Business	Occupation/Status
ELLISTER LEWIS	52 CHURCH ROAD, MAYARO	BUSINESSMAN


10. Particulars of share warrants or bearer share warrants surrendered to the company pursuant to section 33(7) or cancelled under section 33(10):

Share Warrant/ Bearer Share Warrant	Serial Number(s)	Date of Surrender	Date of Cancellation
N/A	N/A	N/A	N/A

REGISTERED

11. Particulars of holders and beneficial owners of shares in the company as of the date of the Annual Return:

Names, Addresses and Occupation/ Status of Holder(s) of Shares				Names, Addresses and Occupation of Beneficial Owner(s)				Class of shares and Number held at date of Return	
Given Name(s) & Surnames/ Company Name	Address/ Registered Office	Occupation/ Status	Nationality/ Jurisdiction of Incorporation/ Formation	Given Name(s) & Surnames	Address	Occupation	Nationality	Class of Shares	Number of Shares held
THE ANNEXED SCHEDULE 1, ITEM 11 IS INCORPORATED IN THIS FORM									

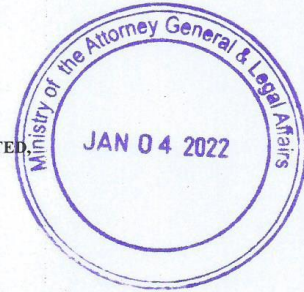
12. Date	Name and Title	Signature
04/01/2022	ELLISTER LEWIS - DIRECTOR	

FORM 28

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT CH.81:01  
(Section: 194(1))

ANNUAL RETURN OF A COMPANY FOR PROFIT INCORPORATED,  
CONTINUED OR AMALGAMATED UNDER THE ACT



SCHEDULE 1

ITEM 11

DSM INVESTMENTS LTD

11. Particulars of holders and beneficial owners of Shares in the Company as of the date of the Annual Return:

Names, Addresses and Occupation / Status of Holder(s) of Shares				Names, Addresses and Occupation of Beneficial Owner(s)				Class of Shares and Number Held at date of Return	
Given Name(s) & Surname(s) / Company Name	Address/ Registered Office	Occupation /Status	Nationality /Jurisdiction of Incorporation /Formation	Given Name(s) & Surname(s)	Address	Occupation	Nationality	Class of Shares	Number of Shares Held
ELLISTER LEWIS	#52 CHURCH ROAD, MAYARO	Businessman	TRINIDADIAN	ELLISTER LEWIS	#52 CHURCH ROAD, MAYARO	Businessman	TRINIDADIAN	Ordinary	6,612,337
DAMIAN LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	TRINIDADIAN	DAMIAN LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	TRINIDADIAN	Ordinary	116,005
MADISON LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	TRINIDADIAN	MADISON LEWIS	#12 EAST STREET, BEAULIEU GARDENS, TACARIGUA	STUDENT	TRINIDADIAN	Ordinary	116,005
SAMIRAH HOSEIN	#52 CHURCH ROAD, MAYARO	STUDENT	TRINIDADIAN	SAMIRAH HOSEIN	#52 CHURCH ROAD, MAYARO	STUDENT	TRINIDADIAN	Ordinary	116,005

Date: 04/01/2022	Name and Title: ELLISTER LEWIS - DIRECTOR	Signature:
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